# USP Approaches to Quality Assessment of Biologics

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USP BIO4 Expert Committee Chair and BIO3 Expert Committee member 21 May 2019

### Agenda

- USP approaches to biologics standards - general overview
- Case study for biologics monographs: heparins
- Standards for monoclonal antibodies
- Best practices chapters: residual host cell DNA and proteins
- Summary



#### **USP – Public standards**

#### Recognized in over 140 countries





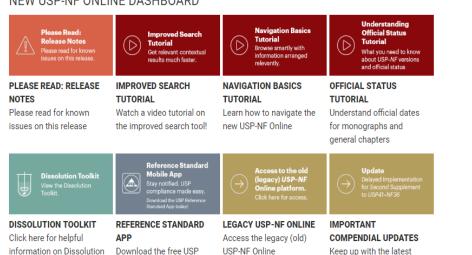
#### **New USP-NF Online Dashboard**



compendial updates.

Get the most out of your new USP-NF Online! Explore this area for helpful video tutorials and links to USP resources.

#### NEW USP-NF ONLINE DASHBOARD

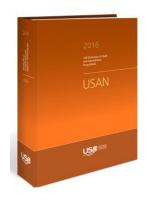


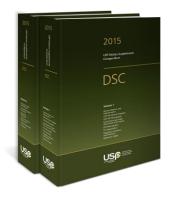
Reference Standards App

today













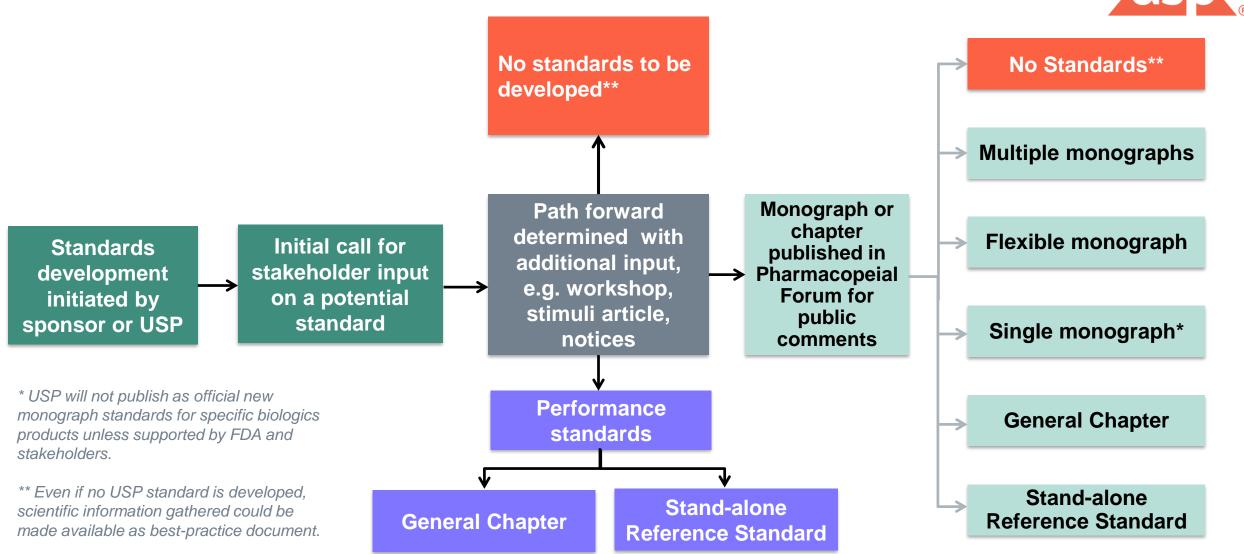
#### USP Standards in USP-NF



#### Documentary Standards

- Monographs
  - Specifications for pharmaceutical articles in commerce (from release through product shelf life)
  - Specifications Tests, assays and acceptance criteria to demonstrate the article meets required quality standards
- General Chapters
  - Cover broader topics and more widely applicable methods
  - Chapters from <1000> to <1999> are interpretive and provide general information and recommendations
  - Chapters below <1000> are compendially applicable and enforceable if referenced in General Notices, a monograph, or another applicable General Chapter under <1000>
  - Often describe specific procedures and Reference Standards (RSs)
- Physical Reference Materials
  - Provide traceable standards to demonstrate broad-based acceptability of procedures

## Standard development for biologics licensed under the PHS Act, and early stakeholder engagement



## **Biologic Monographs**

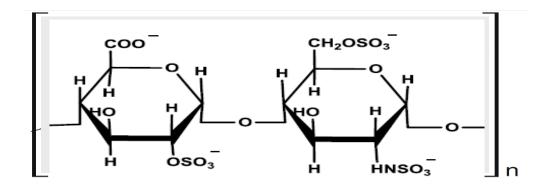
Case Study: Heparin



#### Heparins



- Heparin is a member of the glycosaminoglycan (GAG) family
- Heparin is a polysaccharide, polysulfated negatively charged heterogeneous mixture with molecular weight range between 2,000 to 50,000 Daltons
- The main raw material is pig intestine and majority is sourced from China
- The main disaccharide repeating unit is 2-O-sulfated iduronic acid and 6-O-sulfated, N-sulfated glucosamine comprising approximately 75%
- 5 other disaccharides units comprise the rest of the molecule
- Also the starting material for low molecular weight heparins



## Heparin revision timeline



1								R
2007-2008	2008	JUN '08-FEB '09	MAR-DEC 2009	2010	2011	2012	2013	2014
STAGE 1		STAGE 2		STAGE 3				
NY TIMES	FD/A USP				=			
CRISIS  A number of deaths and hundreds of serious adverse events reported	MARCH FDA seeks USP collaboration to improve heparin standards  APRIL-MAY USP validates FDA methods  JUNE USP releases revised Heparin Sodium monograph and 2 new Reference Standards (RSs)	Soliciting methods from industry  Validation of methods  Soliciting batch data to support specifications	MARCH USP strengthens Heparin monograph in its entirety: Identification, Potency, Organic Impurities, Absence of OSCS. USP releases 5 new RSs.  MARCH-MAY Standards open for public comment  OCTOBER 1 Stage 2 revised Heparin Sodium monograph becomes official	FDA requests continued optimization of monograph methods  USP develops methods	investigate imp molecular weig procedure  NOVEMBER 1 Stage 3 revision Sodium monog of <sup>1</sup> H NMR, anic procedure, revis with tighter sp nucleotidic imp tighter specificate new RSs.  NOVEMBER 2	d-robin studies to urities methods and ht determinations	Stage 3 revised Heparin Sodium monograph is published in USP37–NF32	M Stage 3 revisions become official

## Heparin Sodium Monograph after Stage 3 revision

#### Identification

- A. <sup>1</sup>H NMR spectrum
- B. Chromatographic ID
- C. Anti-Factor Xa and anti-factor IIa Ratio
- D. Molecular weight Determinations
- E. Identification tests-General, Sodium <191>

#### Assay – Anti-Factor IIa Potency

Other Components: Nitrogen Determination, Method I <461> Impurities

- Residue on Ignition <218>
- Heavy Metals, Method II <231>
- Limit of Galactosamine in total hexosamine
- Absence of Oversulfated Chondroitin Sulfate
- Nucleotidic Impurities
- Protein Impurities

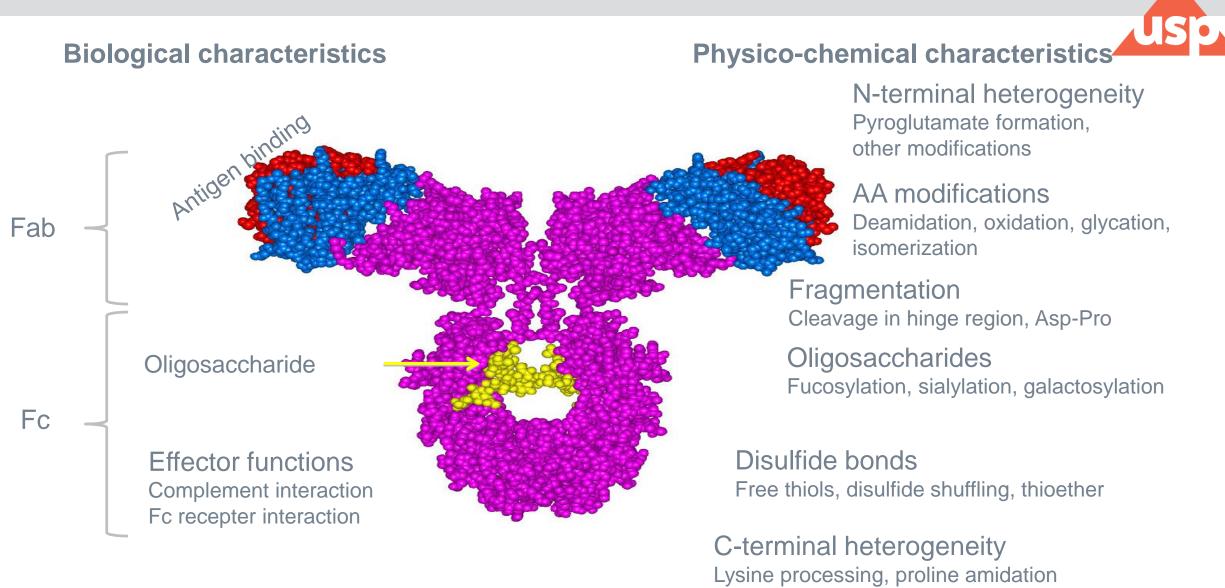
#### Specific Tests

- Bacterial Endotoxins Test <85>
- Loss on Drying <731>
- pH <791>
- Sterility Tests <71>

#### Standards for Monoclonal Antibodies



### Common critical quality attributes



## Chapter <129> Analytical Procedures for Recombinant Therapeutic Monoclonal Antibodies

- Contains a collection of validated compendial procedures with established system suitability criteria for therapeutic monoclonal antibodies
  - Size–Exclusion Chromatography (SEC)
  - Capillary SDS Electrophoresis (reduced and non-reduced)
  - Oligosaccharide Analysis
  - Sialic Acid Analysis

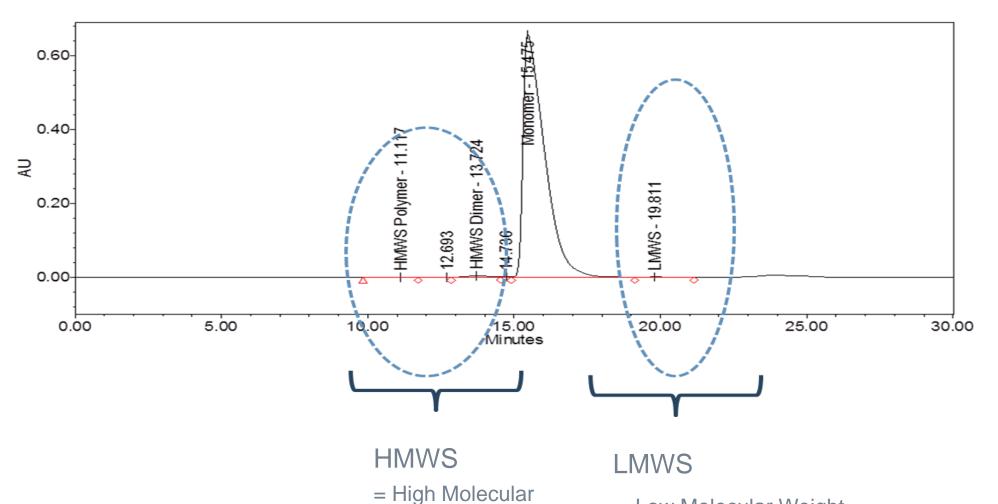
Contains a USP Monoclonal IgG System Suitability RS (catalog #1445550) to ensure suitability
of the methods

• Does not contain product-specific acceptance criteria

#### Chapter <129> SEC-HPLC System Suitability

#### **USP Monoclonal IgG System Suitability RS Chromatogram**





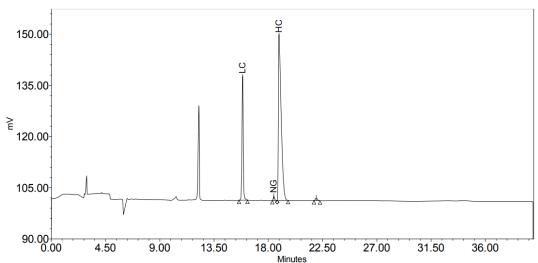
Weight Species

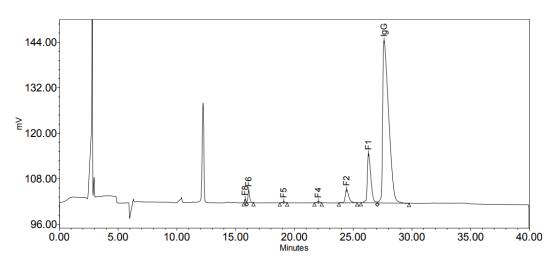
Species

### **USP Monoclonal IgG System Suitability RS**



- Reduced and non reduced mAb by CE-SDS
- Suitability requirements for USP Performance Standard
  - Reduced
    - Electropherogram consistency
    - Resolution
    - Ratio of nonglycosylated to total heavy chain
  - Non reduced
    - Electropherogram consistency
    - Resolution, amount of main, RSD





## **Best Practices General Chapters**

Case Studies: Residual Host Cell DNA and Proteins



## ICH Q6B definitions of product-related and processrelated impurities in biologics

- Product-related impurities (e.g., precursors, certain degradation products) are molecular variants arising during manufacture and/or storage, which do not have properties comparable to those of the desired product with respect to activity, efficacy, and safety.
- Process-related impurities encompass those that are derived from the manufacturing process, i.e., cell substrates (e.g., host cell proteins, host cell DNA), cell culture (e.g., inducers, antibiotics, or media components), or downstream processing.

### **USP** residual DNA testing chapters



- Chapter <1130> Nucleic Acid-based Techniques Approaches For Detecting Trace Nucleic Acids (Residual DNA Testing)
  - Informational general chapter with best practices
  - Official since December 2016
- ► Chapter <509> Residual DNA Testing NEW
  - New chapter containing a validated method
  - 2 associated Reference Standards:
    - USP CHO Genomic DNA Reference Standard (30 ng/μL)
    - USP *E. coli* Genomic DNA Reference Standard (30 ng/μL)
  - Will publish in the Second Supplement to *USP42-NF37*, official December 1, 2019

#### Outline of guidance in USP Chapter <1130>



- Introduction
  - Strategies to address residual DNA
    - Validate clearance during process-validation
    - Routine monitoring of residual DNA in DS
- Sample pre-treatment
- Hybridization-based Residual DNA Assay
- DNA-binding protein-based Residual DNA Assay
- Polymerase Chain Reaction Techniques
  - Quantitative PCR (qPCR)
  - Alternate Detection Strategies
- Points to Consider

#### Outline of <509> Residual DNA Testing



- Sample Preparation (extraction)
  - Proteinase K digestion step combined with a chaotropic salt (NaI) extraction and isopropanol precipitation
    - USP survey revealed that 95% of respondents extract samples and of these 62% use chaotropic agents
- qPCR Method for DNA Detection
  - A USP global survey revealed that some form of qPCR is used by 82% of respondents by far the most commonly used technique for detecting DNA
  - Includes
    - Primer and probe sequences for CHO and E. coli
    - System Suitability Requirements
    - Acceptance Criteria for Accuracy and % RSD
      - Limit to be defined in product monograph

#### Impact of host cell proteins



- Residual HCPs have the potential to affect product quality, safety, and efficacy, including:
  - Immune responses to HCPs
  - Unwanted bioactivity (homology to endogenous human proteins)
  - Enzymatic activity that impacts the drug substance or excipients (e.g., lipases for polysorbate), affecting stability, potency
- Risks can vary based on many factors, including:
  - Dose (mg biologics/kg body weight)
  - Route of administration
  - Frequency of dosing (acute or chronic indications)
  - Number of biotherapeutics a patient takes
  - Patient population (immune-compromised, etc.)

### Challenges in HCP analysis



- HCPs vary according to the cell substrate, as well as upstream and downstream manufacturing processes
  - HCPs can vary in pl (~3–11), hydrophobicity, and molecular weight (from ~5 kDa to at least ~250 kDa)
  - Host cell substrates vary, from bacteria, to yeast, to mammalian or insect cells
- ▶ Low levels of residual HCPs in a large excess of protein product
- ▶ The population of HCP species may change during process development
- Not all HCPs are immunogenic so may not be detected by immunoassays
- If a particular HCP is enriched during product purification, then a false negative or low dose value may result (hook effect)
- Challenging critical reagent development and characterization process to ensure detection of most HCPs

## GC <1132> Residual Host Cell Protein Measurement in Biopharmaceuticals



- Official in USP41-NF36 1S (December 2015)
- Covers:
  - Immunoassay Methods
    - Reagents
    - Method Development
    - Qualification
    - Validation
  - Supporting / Orthogonal Technologies
    - Electrophoresis Methods (1D and 2D SDS-PAGE and CE-SDS)
    - Western Blot
    - Chromatographic Methods
    - Mass spectrometry Methods
- No Reference Standards

#### Development of performance standards



#### Performance Standards

- Physical reference standards which support biologics analytical testing throughout the product lifecycle
- Used to ensure and demonstrate methods and process performance
- Broadly applicable to product families or classes as opposed to a specific drug substance or drug product

#### Examples

- Oligosaccharide Mixtures (A-D) for glycan analysis
- Monoclonal Antibody IgG System Suitability Standard for characterizing mAbs (SEC and CE)
- BSA (Bovine Serum Albumin) for protein quantification, system suitability (for proteins which don't have a specific reference standard)

HCP related standards were identified as high priority at roundtable discussions with industry experts

### Summary



- USP continues to modernize its monographs and develop other compendial standards in collaboration with stakeholders
- USP will expand its collection of high quality Reference Standards that support characterization of biologics throughout the drug development pathway
- USP's Biologics team will engage outside experts to prioritize standards that are most needed by manufacturers and regulators, including those for advanced therapies
- Follow our activities at: <a href="https://www.usp.org/biologics">https://www.usp.org/biologics</a>

## 2020-2025

#### Join us on the Journey

Collaborate with highly dedicated leaders from science, medicine, healthcare practitioners, industry and academia to help us establish standards that make it possible for 2 billion people around the world to have access to quality medicines, dietary supplements and foods.

#### **Important dates:**

Jul 2018: USP launched the 2020-2025 Call for Candidates

Jan 2020: Deadline for Expert Committee chair applications

May 2020: Deadline for Expert Committee member applications

Jul 2020: 2020–2025 Council of Experts and Expert

Committees begin their work





## Questions



**Empowering a healthy tomorrow** 

## Thank You



**Empowering a healthy tomorrow**